

The Impact of Surgical Staging on the Prognosis of Mucinous Borderline Tumors of the Ovaries: A Multicenter Study

KEMAL GUNGORDUK¹, OSMAN ASICIOGLU², ELENA IOANA BRAICU³, JUMANA ALMUHEIMID³, SEVKI GOKSUN GOKULU⁴, NILUFER CETINKAYA⁵, TAYFUN GUNGOR⁶, GONCA PAKAY⁷, ELCIN UZMEZ TELLI⁸, ZELIHA FIRAT CUYLAN⁶, TAYFUN TOPTAS⁹, AHMET BILGI⁴, RAMAZAN OZYURT¹⁰, ELIF AGACAYAK¹¹, AYKUT OZDEMIR¹², NURI YILDIRIM⁴, SALIH TASKIN¹³, TUFAN OGE⁸, ONUR EROL¹⁴, LEVENT AKMAN⁴, ANIL TURAN¹⁵, MEHMET SAIT ICEN¹¹, TAYLAN SENOL⁷, OZLEM IRAK OVALI¹³, BURCU YUCESOIY¹¹, OZGU GUNGORDUK¹, OSMAN TEMIZKAN¹⁶, MUZAFFER SANCI¹⁷, TAYUP SIMSEK⁹, MEHMET MUTLU MEYDANLI⁶, MEHMET HARMA¹⁵, LEVENT YASAR¹², AYSEL DERBENT UYSAL¹⁴, ATES KARATEKE⁷, FIRAT ORTAC¹³, SABIT SINAN OZALP⁸, JALID SEHOULI³ and MUSTAFA ZELAL MUALLEM³

¹Department of Gynecology and Gynecologic Oncology, Mugla Education and Research Hospital, Mugla, Turkey;

²Department of Gynecology and Gynecologic Oncology,

Kanuni Sultan Süleyman Education and Research Hospital, Istanbul, Turkey;

³Department of Gynecology with Center for Oncological Surgery, Charité – Universitätsmedizin Berlin, corporate member of Freie Universität Berlin, Humboldt-Universität zu Berlin, and Berlin Institute of Health, Berlin, Germany;

⁴Department of Gynecology and Gynecologic Oncology, Ege University School of Medicine, Izmir, Turkey;

⁵Department of Gynecology and Gynecologic Oncology, Trabzon Education and Research Hospital, Trabzon, Turkey;

⁶Department of Gynecology and Gynecologic Oncology,

Zekai Tahir Burak Education and Research Hospital, Ankara, Turkey;

⁷Department of Gynecology and Gynecologic Oncology,

Zeynep Kamil Education and Research Hospital, Istanbul, Turkey;

⁸Department of Gynecology and Gynecologic Oncology, Osmangazi University School of Medicine, Eskisehir, Turkey;

⁹Department of Gynecology and Gynecologic Oncology, Akdeniz University School of Medicine, Antalya, Turkey;

¹⁰Department of Gynecology and Gynecologic Oncology, Istanbul Education and Research Hospital, Istanbul, Turkey;

¹¹Department of Gynecology and Gynecologic Oncology, Dicle University School of Medicine, Diyarbakir, Turkey;

¹²Department of Gynecology and Gynecologic Oncology,

Bakırköy Sadi Konuk Education and Research Hospital, Istanbul, Turkey;

¹³Department of Gynecology and Gynecologic Oncology, Ankara University School of Medicine, Ankara, Turkey;

¹⁴Department of Gynecology and Gynecologic Oncology, Antalya Education and Research Hospital, Antalya, Turkey;

¹⁵Department of Gynecology and Gynecologic Oncology,

Zonguldak Bulent Ecevit University School of Medicine, Zonguldak, Turkey;

¹⁶Department of Gynecology and Gynecologic Oncology,

Sisli Hamidiye Etfal Education and Research Hospital, Istanbul, Turkey;

¹⁷Department of Gynecology and Gynecologic Oncology, Izmir Tepecik Education and Research Hospital, Izmir, Turkey

Correspondence to: Dr. med. Dr. (syr.) Mustafa Zelal Muallem, Charité University Medicine Berlin, Campus Virchow-Klinikum, Augustenburger Platz 1, 13353 Berlin, Germany. Tel: +49 30450664373, Fax: +49 30450564900, e-mail: Mustafa-Zelal.Muallem@charite.de

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Abstract. Background/Aim: The purpose of this study was to prove the effect of complete surgical staging of patients with mucinous borderline ovarian tumors (mBOTs) especially appendectomy on progression-free survival (PFS) and overall survival (OS). Patients and Methods: The database of 14 gynecological oncology departments from Turkey and Germany were comprehensively searched for

women who underwent primary surgery for an ovarian tumor between January 1, 1998, and December 31, 2015, and whose final diagnosis was mBOT. Results: A total of 364 patients with mBOT with a median age of 43.1 years were included in this analysis. The median OS of all patients was 53.1 months. The majority of cases had Stage IA (78.6%). In univariate and multivariate analyses, radical surgery, omentectomy, appendectomy, lymphadenectomy, and adding adjuvant chemotherapy were not independent prognostic factors for PFS and OS. Furthermore, FIGO stage (\geq IC vs. $<$ IC), radical surgery, and staging surgery were not independent risk factors for recurrence of mBOTs. Finally, abnormal macroscopic appendix and FIGO stage (\geq IC vs. $<$ IC) were independent risk factors for appendiceal involvement ($p=0.032$). Conclusion: Patients with conservative surgery do not have higher recurrence rates. Fertility-sparing surgery should be considered in the reproductive age group. Detailed surgical staging including lymphadenectomy, appendectomy, and omentectomy does not have an impact on survival rates.

Borderline ovarian tumors (BOTs) account for 10-20% of ovarian malignancies (1). The World Health Organization (WHO) ascribed the name “borderline” to these tumors, with morphological criteria (especially having a higher proliferative activity than benign neoplasms but having no stromal invasion) (2). Mucinous borderline ovarian tumor (mBOT) accounts for up to 30-50% of BOTs (3). For a long time, mBOT was divided into “intestinal” or “Mullerian” (endocervical) types. However, in accordance with a new classification, intestinal mBOT is accepted as mBOT and the endocervical type is considered a seromucinous tumor (4).

The optimal treatment approach in patients with mBOTs is still controversial. Some surgeons perform complete staging surgery because of the low accuracy and sensitivity of frozen section analysis in these patients, while others prefer conservative surgery (5, 6). In addition, over the years, guidelines often recommended removal of the appendix in patients with mBOT; however, appendectomy is controversial today, and some authors suggest appendectomy only if the appendix appears macroscopically abnormal (3, 7-10).

The goal of this study was to prove the effect of complete surgical staging of patients with mucinous borderline ovarian tumors (mBOTs) especially appendectomy on progression-free survival (PFS) and overall survival (OS).

Materials and Methods

This retrospective study was carried out using 14 gynecological oncology department databases from Turkey and Germany. All patients with mBOTs diagnosed between January 1, 1998, and December 31, 2015, were included. This study was approved by the local ethics committee (EK207/2003). It was conducted in accordance with the ethical standards of the Declaration of Helsinki.

Table I. Demographic, pathological, and surgical characteristics of patients with mucinous borderline ovarian tumors.

Characteristic	N=364
Age	
Median (n: range in years)	43.1 (16-87)
Postmenopausal status (n, %)	118 (32.4)
Ultrasound image (n, %)	
Solid	53 (14.5)
Cystic	71 (19.5)
Not reported	240 (65.9)
Median CA-125 level (U/mL)	137 (1-8553)
Median tumor diameter (mm)	113.25±72.57
FIGO stage at diagnosis (n, %)	
IA	286 (78.6)
IB	16 (4.4)
IC	26 (6.6)
IIA	0 (0.0)
IIB	0 (0.0)
IIC	0 (0.0)
IIIA	23 (6.3)
IIIB	1 (0.3)
IIIC	14 (3.8)
IVA	0 (0.0)
Frozen pathology records (n, %)	
Benign	29 (12.8)
Borderline	139 (61.5)
At least borderline	46 (20.4)
Malignant	12 (5.3)
Accuracy of frozen pathology (n, %)	185 (81.9)
Presence of invasive implant (n, %)	12 (3.3)
Surgery group (n, %)	
Conservative	200 (54.9)
Radical	164 (45.1)
Conservative surgery type (n, %)	
Unilateral cystectomy	70 (35.0)
Bilateral cystectomy	16 (8.0)
Cystectomy and contralateral ovarian biopsy	5 (2.5)
Unilateral salpingo-oophorectomy (USO)	103 (51.5)
USO and contralateral biopsy	6 (3.0)
Bilateral biopsy	0 (0.0)
Staging surgery (n, %)	
No	217 (59.6)
Yes	
Complete	92 (25.3)
Incomplete	55 (15.1)
Appendectomy (n, %)	194 (53.3)
Appendiceal involvement (n, %)	33 (9.1)
Macroscopically abnormal appendicitis (n, %)	12 (3.3)
Omentectomy (n, %)	146 (40.1)
Hysterectomy (n, %)	155 (42.6)
Median removed pelvic lymph nodes (n: range in numbers)	17.9±9.0 (1-54)
Median removed para-aortic lymph nodes (n: range in numbers)	19.4±11.9 (3-61)
Surgery type (n, %)	
Laparoscopy	23 (6.3)
Laparotomy	341 (93.7)
Received postoperative chemotherapy	34 (9.3)
Recurrence (n, %)	24 (6.6)
Treatment of recurrent tumor	
Surgery	16 (4.4)
Chemotherapy	2 (0.5)
Surgery + chemotherapy	6 (1.6)
Disease-free survival (n: range in months)	51.8±40.2 (12-216)
Overall survival (n: range in months)	53.1±40.3 (12-216)
Duration of follow-up (n: range in months)	53.1±40.3 (12-216)

Patients with BOT other than the mucinous type or patients with any accompanying invasive cancer were excluded. Using the hospital databases, patient age, menopausal state, preoperative CA-125, and the results of preoperative ultrasound imaging were collected. The surgical technique, mean tumor diameter, lymph node status, and stage at diagnosis, if any, were reviewed. Chemotherapy after surgery, postoperative follow-up periods, and data related to disease recurrence were evaluated. If frozen sections (FS) were analyzed intraoperatively, FS results were reported intraoperatively as benign, borderline tumor, at least borderline tumor, or malignant tumor. Patients with incomplete data were excluded from the analysis.

Although the International Federation of Gynecology and Obstetrics (FIGO) ovarian staging classification was revised on January 1, 2014, we used the previous staging (2009 staging scheme) classification for consistency (11).

Surgical procedures were classified as radical or conservative. If both ovaries were removed, patients were included in the radical group. The conservative group included fertility-sparing surgery (such as unilateral salpingo-oophorectomy (USO), cystectomy, bilateral cystectomy, cystectomy with contralateral ovarian biopsy, and bilateral ovarian biopsy). For premenopausal women who desired pregnancy, conservative surgery was preferred. Moreover, patient operations were classified into three groups: complete staging, incomplete staging, or unstaged procedures. Staging was considered complete if peritoneal washing, peritoneal biopsies, appendectomy, and omentectomy were performed. If any of these four staging procedures was excluded, patients were considered incompletely staged. If only ovarian surgery (only ovarian cystectomy or oophorectomy) was performed, patients were considered unstaged (12).

After initial diagnosis, recurrence was defined as documentation of metastasis or a new tumor seen in one of the used imaging techniques. Progression-free survival (PFS) was defined as the time from the date of primary surgery to detection of recurrence or the latest observation. Overall survival (OS) was defined as the time from the date of primary surgery to death or the latest observation.

All statistical analyses were performed using MedCalc software (ver. 16.0 for Windows, MedCalc Software, Mariakerke, Belgium). The chi-square test and Student's *t*-test for unpaired data were used for statistical analysis. Survival analysis was based on the Kaplan-Meier method, and the results were compared using the log-rank test. Univariate and multivariate Cox regression analysis were used to determine factors affecting survival, presented as hazard ratios (HR). *p*-Value of <0.05 was considered statistically significant.

Results

A total of 364 patients with mBOTs were identified during the study period. Clinicopathological characteristics of the patients are presented in Table I. Median age at diagnosis was 43.1 years (range=16-87 years). Nearly two out of three patients were premenopausal. Median tumor diameter was 113.25 mm (range=30-420 mm). In 226 patients (62%), frozen section was performed. The accuracy of frozen section was 81.9%. In 29 cases FS showed a benign disease=underdiagnosed (12.83%) and in the other 12 cases a malignant disease=overdiagnosed (5.3%), which could not be confirmed in the final pathological report.

A total of 26 patients received adjuvant chemotherapy (CT) after initial surgery. Eight for disease in early stages (stage IC or stage II) and eighteen for advanced stages (stages III and IV). Detailed surgical characteristics of the patients are demonstrated in Table I.

One hundred and sixty-four (45.1%) cases underwent radical excision procedures; the other 200 (54.9%) patients underwent conservative surgical procedures. Appendectomy was performed in 194 cases (53.3%). The number of patients with appendiceal involvement was 33 (9.1%). Appendiceal metastasis was seen in 5.7% (21) of patients in stage IIIA, 0.2% (1) of patients in stage IIIB, and 3.0% (11) of patients in stage IIIC. The rate of macroscopically abnormal appendix was 3.3% (12 patients). None of these patients had primary appendiceal carcinoma. The sensitivity of macroscopical abnormal appendix to predict appendiceal involvement was 36.4%, specificity 100%, positive predictive value 100% and negative predictive value 88.5%.

Omentectomy was performed in 146 patients (40.1%), and omental involvement was seen in only two of these cases. Implants were diagnosed in 12 patients (3.3%), and from those the appendix was affected in four cases (33%).

On univariate and multivariate analyses, FIGO stage (<IC or ≥IC), age (≥40 or <40), presence of an invasive implant, performance of radical surgery, lymphadenectomy, ovarian cystectomy, staging surgery, appendectomy, omentectomy, and receiving adjuvant chemotherapy were not independent prognostic factors for PFS or OS (Tables II and III).

Twenty-four patients (6.6%) experienced a recurrence. Thirteen (54.1%) of these patients were in the conservative surgery group and the remaining 11 (45.9%) were in the radical surgery group; this difference was not statistically significant ($p=0.937$). Furthermore, no statistically significant difference in recurrence was observed between complete, incomplete, and unstaged patients [(33.4%), (20.8%), and (45.8%), $p=0.361$]. Among patients with recurrence, two were managed with salvage chemotherapy, sixteen with only surgery, and six with chemotherapy after surgery.

The only predictors of recurrence were the FIGO/stage at first diagnosis and the presence of invasive implants. A total of 19 patients out of 328 patients with FIGO I relapsed (5.8%), whereas the rate of recurrence in patients with FIGO stage ≥ II was 13.9% (OR=2.6, $p=0.13$). The rate of invasive implants was significantly different between the two groups (recurrence *vs.* no recurrence) ((16.7 %) *vs.* (2.3 %), $p<0.001$). During the follow-up period, a total of 8 (2.2%) patients deceased from their disease (Table IV).

According to regression analysis, age (≥40 or <40), FIGO stage (<IC or ≥IC), performance of radical surgery, performance/nonperformance of surgical staging, performance of appendectomy, and first-line chemotherapy regimen were not independent risk factors for recurrence of mBOTs (Table V). Finally, in univariate and multivariate

Table II. Results of univariate analyses of disease-free survival and overall survival of patients.

	Disease-free survival			Overall survival		
	Hazard ratio	95%CI	p-Value	Hazard ratio	95% CI	p-Value
Age (<40 yr vs. ≥40 yr)	1.2	1.0-1.5	0.25	1.1	0.9-1.3	0.27
Stage (<IC vs. ≥IC)	1.0	0.8-1.2	0.71	0.8	0.7-1.0	0.64
Radical surgery	1.1	0.9-1.2	0.65	0.8	0.6-1.0	0.71
Staging surgery	1.0	0.9-1.1	0.29	1.0	0.9-1.1	0.29
Ovarian cystectomy	0.9	0.8-1.2	0.74	1.2	0.9-1.4	0.45
Omentectomy	1.0	0.8-1.2	0.57	1.0	0.9-1.1	0.40
Invasive implant	1.3	1.1-1.5	0.43	1.2	1.1-1.3	0.52
Lymphadenectomy	0.8	0.7-0.9	0.16	0.9	0.6-1.2	0.20
Received adjuvant chemotherapy (stage ≥IC)	1.0	0.9-1.1	0.30	1.0	0.8-1.2	0.72
Appendectomy	1.1	0.9-1.3	0.69	0.9	0.8-1.0	0.56

CI: Confidence interval.

Table III. Results of multivariate analyses of disease-free survival and overall survival of patients.

	Disease-free survival			Overall survival		
	Hazard ratio	95%CI	p-Value	Hazard ratio	95%CI	p-Value
Age (<40 yr vs. ≥40 yr)	1.2	0.9-1.5	0.06	1.2	0.9-1.5	0.08
Stage (<IC vs. ≥IC)	0.9	0.7-1.2	0.68	0.9	0.7-1.3	0.90
Radical surgery	1.0	0.7-1.3	0.85	0.9	0.7-1.2	0.93
Staging surgery	1.1	0.9-1.2	0.16	1.1	0.9-1.3	0.14
Ovarian cystectomy	1.0	0.9-1.1	0.61	1.1	0.8-1.2	0.23
Omentectomy	1.1	0.8-1.4	0.33	1.1	0.8-1.4	0.28
Invasive implant	1.4	1.2-1.6	0.31	1.3	1.1-1.5	0.17
Lymphadenectomy	0.7	0.6-0.9	0.06	0.7	0.5-1.0	0.08
Received adjuvant chemotherapy (stage ≥IC)	1.1	1.0-1.2	0.22	1.1	0.7-1.6	0.63
Appendectomy	1.0	0.8-1.2	0.64	1.0	0.8-1.2	0.71

CI: Confidence interval.

analysis, age (≥40 or <40), tumor size (>100 mm vs. ≤100 mm), menopausal status, and ovarian cystectomy were not independent risk factors for appendiceal involvement of mBOTs, but macroscopically abnormal appendix and FIGO stage (<IC or ≥IC) were independent risk factors for appendiceal involvement ($p=0.032$ and $p=0.04$) (Table VI).

Discussion

BOTs are not a rare clinical entity; they constitute 10-20% of ovarian tumors (1), and 30-50% of BOTs are mucinous (3). In the literature, there are limited data evaluating only mBOTs. To the best of our knowledge this present study represents the largest series of cases, including only mBOTs, in the literature. The mean age of patients with BOTs was 43.1 years in our study. Moreover, 67.4% of patients were

premenopausal. Our results support the knowledge of the occurrence of BOTs and mBOTs more frequently in young and premenopausal women (12-14).

Accurate intraoperative diagnosis is very important for mBOTs because it affects the preferred surgical approaches for treatment. However, mucinous histology was reported to be associated with low sensitivity in FS analysis in many studies (15, 16). Gultekin *et al.* evaluated 82 cases of BOT, 42.7 percent of whom had mucinous histology, and reported that the accuracy of frozen section was only 69% (17). The accuracy rate of our study is slightly higher than that of many previous studies. One reason may be that all our cases were operated in gynecological oncology centers.

In ROBOT-study (18) 96.2% of patients had stage I disease at the time of surgery for mBOT. Similarly, in our study, FIGO I-disease was diagnosed too in a very high rate

Table IV. Characteristics of patients based on recurrence.

	With recurrence (n:24)	Without recurrence (n:340)	p-Value	RR (95% CI)
Age (years)*	39.4±14.4	43.4±15.5	0.225	
Tumor size (mm)*	79.2±43.4	114.9±73.3	0.112	
Presence of invasive implant**	4 (16.7)	8 (2.3)	<0.001¥	8.3 (2.3-29.9)
Surgery type**			0.188	0.9 (0.8-1.0)
Laparoscopy	0(0.0)	23 (6.7)		
Laparotomy	24 (100.0)	317 (93.3)		
Stage**			0.123	1.9 (0.8-4.4)
<IC	17 (70.9)	283 (83.3)		
≥IC	7 (29.1)	57 (16.7)		
Radical surgery**			0.937	0.9 (0.4-2.1)
Yes	11 (45.8)	153 (45.3)		
No	13 (54.2)	187 (54.70)		
Staging surgery**			0.361	
Un-staged	11 (45.8)	206 (60.6)		
Incomplete	5 (20.8)	50 (14.7)		
Complete	8 (33.4)	84 (24.7)		
Conservative surgery type (n, %)**			0.825	
Unilateral cystectomy	6 (25.0)	74 (21.7)		
Bilateral cystectomy	1 (4.1)	15(4.4)		
Cystectomy and contralateral ovarian biopsy	0 (0.0)	5 (1.4)		
Unilateral salpingo-oophorectomy (USO)	8 (33.4)	95 (27.9)		
USO and contralateral biopsy	1 (4.1)	5 (1.4)		
Bilateral biopsy	0 (0.0)	0 (0.0)		
First-line chemotherapy regimen**			0.202	2.0 (0.6-6.4)
Yes	4 (16.7)	30 (8.8)		
No	20 (83.3)	310 (91.2)		
Overall survival (months)*	53.8±33.6	53.1±40.8	0.932	
Disease-free survival (months)*	36.1±27.3	52.9±40.8	0.049¥	

Data are expressed as *: mean±standard deviation; **: n (%); ¥: statistically significant.

(90%). Both results reveal the fact that the assessment of staging-procedures in small studies is very difficult, as we know already that the incidence of extra-gonadal mBOT (stages ≥FIGO II) is rare (4-10%). In other words, the effect of staging in extra-gonadal will be difficult to show, as the extra-gonadal disease is rare.

We found that lymphadenectomy in surgical staging does not affect survival or recurrence in mBOTS. Similarly, Fauvet *et al.* suggested that lymph node removal is not a part of surgical staging for mBOTS (19). Furthermore, Brown and Frumovitz reported in a review of 146 patients with mBOT that none had lymphatic metastases (20). Other studies claimed that lymph node involvement occurs in 21-29% of cases, leading to an upgrade in FIGO staging (21-24), but no change in PFS and OS (24-26).

Fertility-sparing surgery is even one other controversial issue in treatment of premenopausal mBOT. Koskas *et al.* stated that cystectomy alone is not recommended due to the high likelihood of mBOT developing into an invasive cancer. They attributed this to the presence of tumor cells in the

margins, which can predict relapse after cystectomy (27). Other available data suggest that in general the rate of recurrence is higher after conservative management (10-20% vs. 5% for radical surgery) (1, 28-32). However, this higher recurrence rate did not result in a higher mortality rate in the so far largest series, the German ROBOT study (18). This is in line with our current study of mBOT, which shows that conservative surgery was not an independent prognostic factor for recurrence or PFS and OS.

It is very important to consider whether it is necessary to perform routine appendectomy in mBOT, as currently recommended in the National Comprehensive Cancer Network (NCCN) guidelines for ovarian cancer version-I 2016 (33). The literature is very confusing and controversial. Moreover, appendectomy may have surgical complications such as intraabdominal abscess, bleeding, and bowel perforation. The reviews by Kleppe *et al.* (3) and Cosyns *et al.* (34) both systematically evaluated 232 mBOT cases in which an appendectomy was performed and found only two (0.86%) appendiceal carcinomas. Since both were grossly

Table V. Results of univariate and multivariate analyses of risk factors of patients with recurrence of mucinous borderline ovarian tumor.

	Univariate analysis			Multivariate analysis		
	Hazard Ratio	95%CI	p-Value	Hazard Ratio	95%CI	p-Value
Age (<40 yr vs. ≥40 yr)	1.1	0.9-1.4	0.224	1.1	0.9-1.4	0.173
Stage (<IC vs. ≥IC)	1.0	0.7-1.4	0.749	1.0	0.8-1.4	0.620
Radical surgery	0.9	0.7-1.1	0.315	0.9	0.7-1.2	0.823
Staging surgery	1.0	0.9-1.2	0.423	1.1	1.0-1.3	0.344
Appendectomy	0.9	0.7-1.2	0.907	1.0	0.8-1.2	0.816
Cystectomy	1.1	0.9-1.3	0.751	1.0	0.8-1.3	0.156
First-line chemotherapy regimen	1.1	0.8-1.6	0.373	1.2	0.8-1.9	0.293

CI: Confidence interval.

Table VI. Results of univariate and multivariate analyses of risk factors of patients with appendiceal involvement of mucinous borderline ovarian tumor.

	Univariate analysis			Multivariate analysis		
	Hazard Ratio	95%CI	p-Value	Hazard Ratio	95%CI	p-Value
Age (<40 yr vs. ≥40 yr)	1.1	0.9-1.4	0.175	1.3	0.9-1.9	0.06
Abnormal macroscopic appendicitis	5.6	3.1-10.6	0.004¥	3.5	0.8-14.0	0.032¥
Mean tumor diameter (≤100 mm vs. >100 mm)	1.0	0.7-1.3	0.791	1.0	0.7-1.3	0.794
Menopausal status	1.0	0.8-1.3	0.563	0.9	0.6-1.3	0.650
Stage (<IC vs. ≥IC)	1.6	1.2-2.2	0.028¥	1.8	1.1-2.9	0.04¥
Ovarian cystectomy	0.9	0.7-1.2	0.69	1.0	0.8-1.2	0.81
Invasive implant	2.6	0.9-7.2	0.06	2.1	1.1-4.1	0.09

CI: Confidence interval; ¥: statistically significant.

abnormal at the time of surgery, they concluded that patients with mBOT with a normal appendiceal appearance should not undergo appendectomy.

Appendix was resected in 54% of cases in our current study. In 9.1% of resected appendices patients presented with appendiceal involvement. Only 36.4% of involved appendices showed macroscopical abnormalities (12 out of 33 patients=low sensitivity of macroscopic abnormal appearance to predict the appendiceal involvement), therefore we could not conclude the same results and advise to omit the appendectomy from staging/procedures of mBOT, even when our data do not present any impact of appendectomy on PFS and OS.

Moreover, we found that routine omentectomy does not have any effect on PFS and OS in mBOT. This finding was similar to that of the study by Cömert *et al.* (13).

In the present study, surgery followed by sequential treatment with chemotherapy did not have different survival rates *versus* the absence of adjuvant chemotherapy in ≥IC FIGO stage mBOTs. This finding is similar to those of previous studies, and there were no additional benefits from

postoperative adjuvant therapy for cases involving non-invasive or invasive implants (35, 36). One reason may be that mBOT generally does not show a high proliferation rate.

The rates of recurrence for mBOTs vary between 4.2 and 13% (27, 37). These data are similar to those of the present study (6.6%). Khunamornpong *et al.* reported that six patients (4.2%) developed a recurrent tumor, of whom only two underwent bilateral salpingo-oophorectomy (37). Moreover Koskas *et al.* found 13 recurrences (13%), of whom only three patients underwent bilateral salpingo-oophorectomy (27). However, according to our study, radical surgery does not protect against recurrence for mBOT. FIGO stage at the time of diagnosis was one of the strongest prognostic factors for recurrence in many studies (28, 38-39), While only 5% of patients initially diagnosed in FIGO stage I are confronted with relapse of the disease, patients with extended disease were faced with recurrence in up to 25% of cases. These data are very similar to our current findings, as we reported about 5.8% recurrence rate in FIGO I patients and about 13.9% recurrence rate in FIGO ≥II- Patients.

In the case of extragonadal recurrence, the best possible treatment is to perform cytoreductive surgery (18). Similar to this finding, in our series, most of the patients who experienced recurrence were treated with surgery without adding chemotherapy.

In our series, we found that, age (<40 or ≥40), the presence or absence of staging surgery, FIGO stage (≥IC vs. <IC), the presence of invasive implants, radical surgery, lymphadenectomy, omentectomy, appendectomy, cystectomy, and receiving adjuvant chemotherapy for stage ≥IC tumors were not independent prognostic factors for PFS and OS in mBOTs. These results are similar to those in the literature (27).

This study has many limitations. It was a retrospective analysis of patients from various institutions and countries. Furthermore, there were many different surgeons and surgical approaches. Additionally, histopathological evaluations of borderline ovarian tumors may vary depending on the experience of the institutions. On the other side, this study evaluated only mBOT patients and represents a very large multicenter series (including 364 patients) of cases with mBOTs. Moreover, lengthy follow-up increased the validity of the results and limited weaknesses.

In conclusion, the prognosis of patients with mBOT is excellent; moreover, patients who undergo conservative surgery do not have higher recurrence rates. Fertility-sparing surgery should be considered in the reproductive age group. Detailed surgical staging including lymphadenectomy, appendectomy, and omentectomy does not have an impact on survival rates.

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